



**Sonoma County Continuum of Care (CoC) Board  
Agenda for November 17, 2021  
1:00pm-4:00pm Pacific Time**

Public Zoom link: <https://sonomacounty.zoom.us/j/95082086146?pwd=VnZIYXFBUjZpYUI3N1drYTIaR1ZLQT09>

Meeting ID: 950 8208 6146 Passcode: 278429

	<b>Agenda Item</b>	<b>Packet Item</b>	<b>Presenter</b>	<b>Time</b>
	Welcome, Roll Call and Introductions		Board Chair	1:00pm
1.	Consent Calendar (ACTION ITEM): <ul style="list-style-type: none"> <li>• Approve Agenda</li> <li>• Approve Minutes from 10/27</li> <li>• Accept Report from Public Housing Authority on Emergency Housing Vouchers (EHV)</li> </ul>	-11/17 Agenda -10/27 DRAFT Minutes -EHV Report	Board Chair	1:05pm
2.	Emergency Solution Grants – COVID (ESG-CV) Workgroup Recommendation (ACTION ITEM with recusals)	-ESG Workgroup Recommendation <i>Delayed – will be sent when available</i>	Workgroup Representative	1:15pm
3.	Charter & Policy Review Committee Report <ul style="list-style-type: none"> <li>• ACTION ITEM – <i>Approve Revised CoC Governance Charter</i></li> <li>• ACTION ITEM – <i>Approve CoC Membership Application</i></li> <li>• ACTION ITEM – <i>Approve CoC Conflict of Interest Disclosure Form</i></li> </ul>	- CoC Charter & Policy Review Committee Message to CoC Board -Revised CoC Governance Charter -CoC Membership Application -CoC Conflict of Interest Disclosure Form -CoC Charter & Policy Review Committee PowerPoint Presentation	Committee Chair	1:35pm
4.	5-Minute Break			3:00pm
5.	Standing Committee Reports <ul style="list-style-type: none"> <li>• Homeless Management Information System (HMIS)/Data Committee ACTION ITEM – <i>Approve HMIS Evaluation Plan</i></li> </ul>	-HMIS Evaluation Plan	Committee Representatives	3:05pm

	<ul style="list-style-type: none"> <li>• Coordinated Entry Advisory Committee</li> <li>• Strategic Plan Committee</li> <li>• Lived Experience Advisory &amp; Planning Board</li> </ul>			
6.	Staff Report <ul style="list-style-type: none"> <li>• Winter Shelter Update</li> <li>• \$2M County funding for 24/7 Safe Parking and Indoor/Outdoor Shelters</li> <li>• Point in Time Count Update</li> </ul>	-Winter Shelter Flyer -Funding Memo	CDC Staff	3:30pm
7.	Word from the Street		Ludmilla Bade	3:45pm
8.	Review Agenda for December 15 CoC Board Meeting	DRAFT 12/15 Agenda	Board Chair	3:50pm
9.	Board Member Questions & Comments		Board Members	3:55pm
10.	Public Comment			

**PUBLIC COMMENT:**

*Public Comment may be made via email or during the live zoom meeting. To submit an emailed public comment to the Board email [Madison.Murray@sonoma-county.org](mailto:Madison.Murray@sonoma-county.org). Please provide your name, the agenda number(s) on which you wish to speak, and your comment. These comments will be emailed to all Board members. Public comment during the meeting can be made live by joining the Zoom meeting using the above provided information. Available time for comments is determined by the Board Chair based on agenda scheduling demands and total number of speakers.*



## Sonoma County Continuum of Care Board Meeting Meeting Minutes

October 27, 2021

1:00 -5:00 pm Pacific Time – Meeting held by Zoom

[Recording of Meeting](#)

### 1. Welcome and Introductions (0:03-:007)

- Ben Leroi, Continuum of Care (CoC) Board chair, called the meeting to order at 1:00 pm. Ben Leroi went over the agenda, clarified Zoom rules around public comment and Brown Act guidelines.
- Roll Call was taken:
  - *Present: Jennielynn Holmes, Catholic Charities of the Diocese of Santa Rosa; Chuck Fernandez, Committee on the Shelterless; Ben Leroi, Santa Rosa Community Health; Angela Struckmann, Sonoma County Human Services; Margaret Sluyk, Reach For Home; Alena Wall, Kaiser Permanente; Cheyenne McConnell, Youth Community Member; Don Schwartz, City of Rohnert Park; Lisa Fatu, Social Advocates for Youth; Bill Carter, Sonoma County Health Services; Ludmilla Bade, Lived Experience Representative; Stephen Sotomayor, City of Healdsburg Services; Kevin McDonnell, City of Petaluma*
  - *Absent: Kelli Kuykendall, proxy for Tom Schwedhelm, Supervisor Chris Coursey; City of Santa Rosa*

### 2. Agenda and Minutes Approval (00:08-00:16)

- Don Schwartz recommends adding an emergency item to discuss the Sonoma Applied Village Services (SAVS) project after staff reports.
- Board is also voting to approve the endorsement of the Regional Impact Council's Regional Action Plan goal of reducing unsheltered homelessness by 75% in the 9 Bay Area counties.
- The Homeless Management Information System (HMIS) Policies and Procedures and Lead Evaluation Plan will be moved to committee updates.

*Chris Coursey arrived at this time.*

*Kelli Kuykendall arrived at this time.*

Public Comment:

None at this time.

***Margaret Sluyk motioned to approve the agenda with the addition of the SAVS item; approve minutes from 9/22/21 and 10/07/21; and the endorsement of Regional Action Plan; Chuck Fernandez seconds.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Ludmilla Bade, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor*

*Noes: None*

*Abstain: None*

*Absent: None*

### **3. Standing Committee Updates (00:17-2:10)**

- a. **Lived Experience Advisory Body:** Andrew Akufo presented. The prep group is still deliberating the LEAP application. Once approved, the application will then be open and dispersed through the community.
- b. **Coordinated Entry Advisory (CEA) Committee:** Jennielynn Holmes provided an update on the last CEA meeting. The committee has approved a draft of the Emergency Shelter Standards and have decided to re-release the Request for Proposals for the Coordinated Entry System operator. The Emergency Shelter Standards were reviewed and the Board directed staff to further refine the shelter intake policy with the implementation group. Jennielynn Holmes also provided an update on the Emergency Housing Vouchers work group.

Public Comment:

Gerry La Londe Berg

***Don Schwartz motioned to direct staff to reissue Coordinated Entry RFP with no set budget, but instead include a budget proposal that organizations truly need to provide the appropriate level of service. Selected vendor will enter a contract with CoC and the County for further accountability. Stephen Sotomayor seconds.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor*

*Noes: Ludmilla Bade*

*Abstain: None*

*Absent: None*

Public Comment:

Gerry La Londe Berg

Adrienne Lauby

***Jennielynn Holmes motioned to approve the Shelter Standards to move forward with implementation by January 1, 2021; Kevin McDonnell seconds.***

***Kevin McDonnell made an amendment to look for further geographic equity and have 25% also include transfers to permanent supportive housing. Jennielynn Holmes accepted.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor*

*Noes: None*

*Abstain: Ludmilla Bade, not yet reviewed.*

*Absent: None*

- c. **HMIS Data Committee:** Daniel Overbury-Howland presented on the HMIS committee meetings, the HMIS Policies and Procedures, and did not provide an overview of the HMIS Lead Evaluation Plan. The HMIS Lead Evaluation Plan was not included in the meeting packet due to staff error, this item will be reviewed at the next CoC Board meeting for approval. As recommended by the HMIS Data Committee, HMIS fee calculation is set for approval to be readjusted. This HMIS fees section will be incorporated in the HMIS Policies and Procedures and the CoC's Governance Charter.

***Don Schwartz motioned to approve recommended HMIS Policies and Procedures; Angela Struckmann seconds.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor*

*Noes: None*

*Abstain: Ludmilla Bade, not yet reviewed.*

*Absent: None*

- d. **Strategic Plan Committee:** Stephen Sotomayor presented on three work groups: Coordinated System of Care, Housing Work Group, and the Increasing Income Work Group. A subgroup met to determine the potential contractors to assist in the Development of a Countywide Strategic Plan.

***Stephen Sotomayor motioned to approve the recommendation to enter into contract with Project Homebase; Alena Wall seconds.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor*

*Noes: None*

*Abstain: Ludmilla Bade, not yet reviewed.*

*Absent: None*

#### **4. 5 Minute Break (2:10-2:15)**

#### **5. Continued Committee Updates (2:15-2:55)**

- e. **Charter & Policy Review Committee:** Don Schwartz presented on the committee's discussion of CoC Board composition. Looking for board approval on proposed board composition. Composition will be brought back to the Committee for further review.

Public Comment:  
Eddie Campagnola  
Gerry La Londe Berg

**6. Board Direction on 2022 Consolidated Notice of Funding Availability (NOFA) (2:15-3:32)**

Michael Gause, Ending Homelessness Team Manager, Dave Kiff, Interim Executive Director, and Chuck Mottern, Homeless Funding Coordinator presented on the options for Fall 2022 Consolidated NOFA for Local Homeless Programs.

Recused staff:

Lisa Fatu  
Jennielynn Holmes  
Margaret Sluyk  
Chuck Fernandez  
Ben Leroi

Don Schwartz to serve as temporary chair.

Michael Gause provided an overview of three potential funding scenarios for the FY 2022 Homeless Program NOFA.

Public Comment:  
Gerry La Londe Berg  
Gregory Fearon

***Chris Coursey motioned to accept staff recommendations to roll over funding and focus remaining funding on Project Homekey, if Project Homekey does not need funding it will be brought back to board, and to use staff time to assess how data can be used to make recommendations; Kevin McDonnell seconds.***

*Ayes: Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Stephen Sotomayor, Bill Carter, Cheyenne McConnell, Kelli Kuykendall, Kevin McDonnell*

*Noes: None*

*Abstain: Ludmilla Bade*

*Absent: None*

*Recusals: Lisa Fatu, Jennielynn Holmes, Margaret Sluyk, Chuck Fernandez, Ben Leroi*

**7. Supportive Services Funding Framework for Project Homekey (3:33-3:41)**

Dave Kiff, Interim Executive Director on potential supportive service funding framework for Project Homekey from HHAP 3. Staff is looking for a motion is to reserve funds for upcoming Project Homekey support services.

Public Comment:  
Gerry La Londe Berg  
Gregory Fearon

**Kevin McDonnell motioned to approve the framework to reserve funds for upcoming Project Homekey supportive services; Chris Coursey seconds.**

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Chris Coursey, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor, Ludmilla Bade*

*Noes: None*

*Abstain: None*

*Absent: None*

**8. Staff Report (3:41-3:45)**

Dave Kiff, interim Executive Director presented on safe parking NOFA responses for the Board of Supervisors which will be brought back to the CoC Board. SAY will also serve as intermediary for Youth Board stipends. Michael Gause, Ending Homelessness Manger, presented on potential upcoming funding decisions that may be brought back in December. There will also be encampment resolution funds released by the state with an application due in December.

Public Comment:

None at this time.

**9. SAVS ESG-CV Deadline Emergency Item (3:45-3:54)**

Don Schwartz presented on need to extend SAVS deadline for City of Sebastopol to consider site options.

Public Comment:

Adrienne Lauby

***Don Schwartz motioned to approve extending site control deadline and permit deadlines for City of Sebastopol to consider SAVS Project to December 8, 2021; Kevin McDonnell seconds.***

*Ayes: Ben Leroi, Jennielynn Holmes, Kelli Kuykendall, Chuck Fernandez, Don Schwartz, Angela Struckmann, Alena Wall, Margaret Sluyk, Bill Carter, Lisa Fatu, Cheyenne McConnell, Kevin McDonnell, Stephen Sotomayor, Ludmilla Bade*

*Noes: None*

*Abstain: None*

*Absent: Chris Coursey*

**10. Word from the Street (3:55-4:00)**

Ludmilla Bade presented on current status on the streets and the impact of winter storms and challenge for providers.

Public Comment:

Copperwoman Sasso

**11. Review Agenda (4:00-4:04)**

**12. Board Member Questions and Comments (4:05-4:08)**

**13. Public Comment on Non-Agendized Items (4:08)**

None at this time.

*Meeting Adjourned at 5:03 PM*





## Sonoma County Continuum of Care Board

### Executive Summary

**Item:** – Consent - Emergency Housing Vouchers (EHV)

**Date:** November 17, 2021

**Staff Contact:** Thai Hilton [Thai.hilton@sonoma-county.org](mailto:Thai.hilton@sonoma-county.org)

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The Emergency Housing Voucher (EHV) program has been a collaborative effort of more than 22 local agencies. EHV vouchers are distributed via working groups for populations outlined in the EHV MOU. Several of the subpopulations served have filled their voucher allocations. Other groups continue to meet on a weekly basis to allocate more vouchers. It is expected that all of the voucher referrals will be returned to Catholic Charities for forwarding to the Housing Authorities by November 19<sup>th</sup> or be reallocated to other agencies to refer.

All referrals have been sent for the Transitional Aged Youth (TAY) and victims of domestic violence or human trafficking (DV). The chronically homeless subpopulation and Rapid Rehousing subpopulation groups continue to meet weekly.

There have been changes made to voucher allocations during this process. For example, the Move On subpopulation could not use all of the vouchers allocated to it. They relinquished the remaining vouchers to the chronically homeless and RRH allocations. Also, if an agency is unable to use all of the vouchers allocated to it, they are returned to the group to be redistributed. All decisions about changes are made by a vote of the working groups. As work continues, new agencies are invited. When they join, they are allocated vouchers by the group.

This process has been supported by administrative help from Catholic Charities. This help has been invaluable. Catholic Charities' staff coordinate working group meetings and review referrals to ensure the clients qualify and respond to general questions made by referring partners. Catholic Charities and CDC staff are meeting to begin the process of developing a centralized housing location. The hope is that the centralized housing location will be as collaborative and inclusive as the referral working group meetings.

Staff created the report linked below. This report shows the data as of November 1<sup>st</sup>. The next report will be due on February 1, 2022 and will be shared with this board at that time. While lease up numbers are currently low, it is anticipated that they will grow. It is worth noting that since the submission of this report, SCHA has reported having a total of 77 vouchers at some point in the process (application, searching etc.), 2 of these leased and 2 more awaiting inspection and lease up. Santa Rosa Housing Authority also reported a total of 71 referrals received, 14 vouchers issued and 6 vouchers leased. This demonstrates that the process is gaining momentum. Once all referrals have been sent to the Housing Authorities, the working groups and staff will work to identify support the agencies and clients to find available units and access housing.

Report: [https://share.sonoma-county.org/link/88bTC\\_2kclo/](https://share.sonoma-county.org/link/88bTC_2kclo/)

November 10, 2021

Continuum of Care Board,

On November 9, the Charter and Policy Review Committee approved a revised Charter for the Sonoma County Continuum of Care for your consideration. This is the result of a dozen public Committee meetings, reviews of numerous other charters, legal research, a focused conversation at a CoC membership meeting, a survey of prior CoC voting members, conversations with CoC staff, discussion at two Board meetings, and considerable time editing the document.

The proposed new Charter is also the result of a highly collaborative process we are pleased to have been part of. We have done this as a Committee that you established and whose membership you approved, including five members of the CoC Board.

While none of us agrees with all of the changes, all of us agree this is a Charter we can strongly support. It includes commitments to geographic representation, transparency, and diversity, equity, and inclusion. It is substantially shorter than the current Charter, and thus easier to understand and more useful. It clarifies points of confusion. In short, it is a substantial improvement.

We recognize that the CoC Board has numerous important topics to consider these days, leading to full agendas and long meetings. Nonetheless, we respectfully ask for your consideration of the new Charter at your earliest opportunity. We also ask that you respect the thoughtfulness that has gone into this document. We recognize that as Board members you should raise questions and issues that are of significant concern, and we will be happy to respond during Board discussion. We believe, however, that any major issues will require the Board to resolve without referring them back to the Committee.

We also ask that, if needed, you extend the length of your next meeting beyond the standard four hour duration to give this document your attention, or to schedule a separate meeting with this as the primary topic. Moving forward with due speed is important to the integrity of the CoC as elections are due soon. Moving ahead will also allow the Board and Committee members to focus on other challenges in addressing homelessness.

Once the CoC Board acts on the Charter, staff have recommended that we provide it to HUD Technical Assistance consultants to review it to ensure we are compliant with HUD requirements. This includes review of the Conflict of Interest policy, where we believe further clarification would be helpful. While we do not anticipate any issues arising as part of that review, if we do learn of concerns we will meet to resolve them and bring this back to you for any needed revisions.

Thank you for your consideration.

Don Schwartz, Chair  
Ben Leroi  
Jennielynn Holmes  
Margaret Sluyk  
Asya Sorokurs  
Kevin McDonnell (proxy for Tom Schwedhelm)  
Lisa Fatu (proxy for Kelli Kuykendall)

# November 9, 2021

## Sonoma County Continuum of Care Governance Charter

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# Sonoma County Continuum of Care Governance Charter

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## **Preamble**

The Sonoma County Continuum of Care is the legislative body which under Federal law has the primary responsibility for addressing homelessness in Sonoma County in collaboration with County government, cities, community-based organizations, faith-based organizations, and the Sonoma County public. It is the system whereby all who want to reach functional zero in homelessness in our region can be part of the community solutions.

## **Mission**

The Sonoma County Continuum of Care addresses the problems of housing and homelessness by having a countywide, community-informed, and person-centered CoC system that is compassionate, inclusive, financially responsible, equitable, coordinated, and outcomes based.

## **Vision**

Our vision for the CoC in Sonoma County is that:

- We have a unified, coordinated, equitable, and integrated system with a clear vision across the community to reduce homelessness.
- The people of our county, via our Continuum of Care, have a system of care in that ensures that all persons experiencing homelessness have a safe, supportive and permanent place to call home.
- The people of our county are collectively building a future in which there are sufficient resources, political leadership, and community involvement to end homelessness as a permanent fixture in our social landscape.
- Our system ensures quick access to permanent housing, stable and increased income for participants, strength-based consumer relationships, effective and financially responsible services and programming, coordination and collaboration with mainstream partners, policy and resource advocacy, and comprehensive community education.
- Our system provides for programming and services in all regions of Sonoma County.
- Supports policies that address the disparity we have experienced in Sonoma County, between community members' financial resources and housing costs.

The CoC is committed to upstream investments before problems occur, to reduce overall societal costs, including:

- Diverting those at imminent risk of homelessness from entering shelters;
- Engaging and empowering people who are experiencing homelessness, to reclaim their dignity and to regain housing stability;
- Avoiding high criminal justice and hospital costs, and negative health outcomes, with appropriate housing, income, and access to health services.
- Aligning public and private efforts to address the problems we share.

# Sonoma County Continuum of Care Governance Charter

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## Purpose of this Charter

This Governance Charter and associated Policies and Procedures memorialize how stakeholders meet the federally-defined responsibilities of operating a US Department of Housing and Urban Development (HUD) Continuum of Care as found in the Program Rule at 24 CFR Part 578. As described, HUD empowers and expects the Sonoma Continuum of Care to lead local policy and program development around homelessness. The CoC also determines the Collaborative Applicant (the agency that coordinates the CoC's HUD application, among other things), Homeless Management Information Systems (HMIS) provider, Coordinated Entry operator, and the lead administrative agency.

Stakeholders include:

- people without a home
- Individuals committed to ending homelessness
- Government entities such as the County and municipalities and educational entities
- Non profit and for profit service providers
- Communities – including residents and businesses - affected by homelessness
- Federal and State funders

Stakeholders are committed to the broad values of being: person centered, inclusive, equitable, collaborative, integrated, communicative, coordinated, data driven, transparent, accountable, sustainable, proactive, and comprehensive.

The CoC will be guided by a commitment to Diversity, Equity and Inclusion. The CoC will promote equity for communities of color disproportionately affected by homelessness through membership participation and leadership to assure decision-making is conducted with an awareness of the people we serve. This may include methods such as:

- Recruiting organizations representing these communities as CoC members and leaders.
- Training to promote decision-making that reflects awareness of the people we serve.
- Tracking and reporting results by race and ethnicity, minimally with the Point in Time count.
- Considering funding and policy decisions in the context of diversity, equity, and inclusion.

The Continuum of Care supports:

Performance Targets & Monitoring

1. Establish appropriate performance targets by population and program in consultation with the CoC Program Grantee and sub-recipients then:
2. Monitor performance and evaluate outcomes of ESG and other CoC-funded programs
  - a. ESG recipients provide housing and related support services for low-income persons and their households in the entire Continuum of Care Service Area in accordance with HUD ESG Program regulations and the State of California Emergency Solutions Grant ("CA ESG") Program regulations.
3. Improve performance in reducing homelessness
  - a. Ensure compliance with federal regulations and steward public resources to ensure they are retained in Sonoma County
  - b. Report to HUD as required/requested
4. Coordinated Entry and Assessment

## Sonoma County Continuum of Care Governance Charter

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- a. Establish and operate a coordinated entry and assessment system in consultation with ESG fund recipients
- b. <https://sonomacounty.ca.gov/CDC/Homeless-Services/Coordinated-Entry-System/>
5. Written Standards
  - a. Establish and follow written standards for providing CoC assistance in consultation with ESG fund recipients
  - b. Written Standards: <https://sonomacounty.ca.gov/CDC/Homeless-Services/Compliance/>
6. HMIS
  - a. Designate a single HMIS for the Sonoma County geographic area and designate an eligible applicant to manage it.
  - b. Review, revise, and approve privacy, security, and data quality plans
  - c. Ensure consistent participation of the CoC Program Grantee and sub-recipients in HMIS
  - d. Ensure that the HMIS is administered in compliance with HUD requirements
  - e. <https://sonomacounty.ca.gov/CDC/Homeless-Services/Sonoma-County-HMIS/>
7. Planning
  - a. In the context of the 10-Year Homeless Action Plan, (a) compile information on the status of and changes in Sonoma County homeless housing and service needs; (b) obtain information on activities, programs, policies and evidence of outcomes elsewhere; (c) revise CoC funding and programs in light of this information.
  - b. Coordinate implementation of a housing and service system
  - c. Conduct a point-in-time count of homeless persons that meets HUD's requirements, at least every 2 years
  - d. Conduct an annual gaps analysis of homelessness needs and services
  - e. Provide information required to complete the Consolidated Plan(s)
  - f. The Lead Agency and HMIS Lead will establish appropriate performance targets by population and program in consultation with the CoC and ESG Program Grantee and sub-recipients.
  - g. Monitor performance and evaluate outcomes of the following programs: Emergency Solutions Grant (ESG), Continuum of Care (CoC), Homeless Emergency Aid Program (HEAP), California Emergency Solutions and Housing (CESH), and all programs funded by local government.
8. Application for CoC Program Funds:
  - a. Design, operate and follow a collaborative, fair, and transparent process for developing applications and approving submission of applications in response to a CoC Program Notice of Funding Availability (NOFA).
  - b. Establish priorities for funding projects
  - c. Determine if one or more applications will be submitted
  - d. If more than one, designate the Collaborative Applicant
  - e. If only one, the applicant is the Collaborative Applicant
  - f. Rank multiple applications if required by HUD

### **Continuum of Care Membership & Voting Rights**

Anyone or any entity committed to the prevention and ending of homeless is welcome in the Continuum of Care; there are participating members and voting members as described below. Participating Members include any individuals or organizations who participate in and support the Continuum of Care or actively address homelessness in Sonoma County and will honor codes of conduct and confidentiality requirements, including:

1. Nonprofit homeless service providers

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2. Prevention service providers
3. Victim service providers
4. Disaster planning and prevention agencies
5. Faith-based organizations
6. Funders
7. Governments
8. Businesses
9. Advocates
10. Public housing agencies
11. School districts
12. Social service providers
13. Medical professionals
14. Mental health agencies
15. Hospitals
16. Universities
17. Affordable housing developers
18. Law enforcement
19. Organizations that serve homeless and formerly homeless veterans
20. Homeless and formerly homeless persons

Organizations located in Sonoma County will be granted voting rights upon receipt of an application, at the discretion of the CoC Board, based on material contributions or commitment to supporting the goals of the CoC. Applicants may be required to demonstrate that they have a Sonoma County location. Applications shall state the reasons for their request including their role and contributions to meeting the CoC's goals. Such requests will be considered by the Board prior to any election so long as received before the agenda where these decisions are made is posted. Once approved as a members, organizations are not required to re-apply annually.

Staff of the Collaborative Applicant, Lead Agency, or HMIS Lead are not eligible to vote.

The County of Sonoma, all cities and school districts, and any other body reporting to an elected governing board in Sonoma County will be limited to one vote per governing board. Thus, the County and cities, which are also governing bodies for other purposes, shall not have additional votes for those roles.

The CoC Staff will maintain eligibility lists and make them available prior to all elections.

The CoC staff will work with Board members to invite potential new members – both participating members and voting members – to join the Continuum of Care. This should occur at least each Fall, in advance in the membership meeting at which elections to the Board will be held. Invitations should include organizations representing communities of color **disproportionately affected by homelessness**

### **CoC Membership Meetings**

1. Frequency: The CoC will hold full membership meetings quarterly at a time and location that is accessible to homeless participants and to those with mobility disabilities.
2. Open Meeting: Meetings of the CoC will be open to any interested person.



# Sonoma County Continuum of Care Governance Charter

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3. Agendas: Staff will disseminate agendas at least three business days in advance of the meeting through electronic mailing lists, on the CoC website, and on other websites as appropriate. Staff will also keep minutes of these meetings.

## **The Continuum of Care Board**

### **CoC Board Roles and Responsibilities**

1. The Continuum of Care Board determines policy and acts as the CoC's decision-making group.
2. The Board manages the CoC Program in Sonoma County, including ranking proposals for submission to HUD under the annual NOFA. With staff support, the Board coordinates and reviews the HUD CoC grant application process for the CoC. This includes defining community priorities and reviewing CoC Program applications for approval. The Board acts on behalf of the CoC and ensures that the CoC:
  - a. Scans the environment for best practices and innovations
  - b. Assesses the CoC for gaps, overlaps, duplication, strategic conflicts, etc.
  - c. Coordinates quarterly CoC meetings with published agendas.In addition, the Board is responsible for:
  - d. Overseeing the designated Collaborative Applicant to fulfill major duties of the Continuum of Care
  - e. Monitoring implementation of the 10-Year Homeless Action Plan
  - f. Adopt and follow a written process to select a board to act on behalf of the Continuum of Care. The process must be reviewed, updated, and approved by the Board at least once every 5 years.
  - g. Overseeing planning
  - h. Setting CoC priorities
  - i. Actively seeking participation from organizations listed above that are eligible for membership
  - j. Ensuring transparent governance within the Continuum of Care and monitoring potential conflicts of interest
  - k. Delegating activities to and overseeing committees
  - l. Designating the HMIS Lead to manage the HMIS system in Sonoma County.
  - m. Ensuring consultation of ESG recipients throughout planning and implementation of Continuum of Care activities.
  - n. Taking a holistic view of all funding to address homelessness in Sonoma County in its decisions, and offering recommendations on funding decisions by other bodies as it deems appropriate.
3. Only the Board may designate an individual or entity to speak for the Continuum of Care or its components.
  - a. With the exception of removal policies in this Charter, any grievance related to the Continuum of Care or CoC Program will follow HUD policies and contracts.
4. Individual Members: Individuals serving on the Board must:
  - a. Commit to preventing and ending homelessness
  - b. Attend meetings of the Board meetings
  - c. Participate as an active member of the Continuum of Care
  - d. Seek out input from the peers, industry, and/or population he/she represents
  - e. Bring that input to Board deliberations, while remaining attentive to un-represented views
  - f. Communicate Board work to the peers, industry, and/or population he/she represents
  - g. Adhere to all Governance Charter policies

# Sonoma County Continuum of Care Governance Charter

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## **Board Composition and Terms**

1. Board Composition: The Board shall have seventeen voting members, including nine appointed seats:
  - a. One representative from the City of Santa Rosa, designated by the City Council.
  - b. One representative from the City of Petaluma, designated by the City Council.
  - c. One representative from the Sonoma County Board of Supervisors, appointed by that Board.
  - d. One senior management representative of the Sonoma County Department of Health Services, Human Services, or Probation Department as selected by the County Administrator. The representative may not be in the same Department nor have any reporting relationship to a County Department serving as the Lead Agency, Collaborative Applicant, or HMIS provider.
  - e. One representative from the northern region of the County, including Cloverdale, Healdsburg, and Windsor, selected by the City/Town Councils of those cities.
  - f. One representative from the Sonoma Valley, including the City of Sonoma, selected by the Sonoma City Council.
  - g. One representative from the western region of the County, including Sebastopol and Guerneville, selected by the Sebastopol City Council.
  - h. One representative from Rohnert Park and Cotati, selected by the City Councils of those two cities.
  - i. One representative of the largest homeless services agency, as measured by total number of shelter, transitional housing and permanent housing beds cataloged in the current CoC Housing Inventory.

All appointing bodies may select an alternate to represent the appointee.

Eight elected seats including:

- j. One representative of a homeless services provider different than the one with an appointed seat, as elected by CoC voting members.
- k. One representative from a licensed health care organization, as elected by CoC voting members.
- l. One individual currently experiencing homelessness or who has experienced homelessness within five years (at the time of election) prior to the Board election, as elected by the Lived Experience and Planning body if functioning (as determined by the Board) or if not then by the CoC voting membership.
- m. One individual representing homeless transitional age youth (age 18-24 at the time of election) currently experiencing homelessness or who has experienced homelessness within five years (at the time of election) prior to the Board election, as elected by the Youth Action Board if functioning (as determined by the Board) or if not then by the CoC voting membership.
- n. One homeless advocate or representative of a homeless advocacy organization, as elected by CoC voting members.
- o. Three at large seats as selected by voting CoC members; the candidates need not be members themselves.

Terms for elected members will be two years. An exception will be made for the first election held after adoption of the above composition of the Board, in which case four positions will have initial terms of one year each; these seats will be up for election at the end of 2022. These four seats are the two positions for individuals with lived experience, the advocacy seat, and the at-large member who received the highest number of votes in the election preceding the adoption of this Charter.

## Sonoma County Continuum of Care Governance Charter

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Appointing authorities and voting members will be asked to consider these factors in voting for the “at-large” seats:

1. Geographic representation;
2. Diversity of representation, including the criminal justice system, housing development or property management, business interests, and private hospitals or health agencies;
3. Representation of the people we serve, including sub-populations of people experiencing homelessness and communities of color disproportionately affected by homelessness.

No term limits shall be set for Board membership, and indefinite re-election is permissible.

### **Board Member Elections**

1. Process: Elections shall take place annually at the last membership meeting of the calendar year.
  - a. Staff to the CoC Board shall determine a preliminary list of members eligible to vote, based on requirements for voting members. The resulting list of voting members shall be published through the CoC’s electronic mailing lists and website.
  - b. Challenges may be made regarding members eligible to vote as well as applications for CoC Voting Member status. The CoC Board shall consider voting eligibility for any applications and challenges received, at its meeting immediately prior to annual elections.
  - c. Nominations for open seats shall be solicited for a period of approximately four weeks prior to the annual election. Nomination forms must be received by the Sonoma County Community Development Commission by the close of business seven working days preceding the election. Self-nomination is permissible. The list of candidates and their applications will be published via the CoC website at least three working days before the election.
  - d. Candidates may run for no more than two seats. Should a candidate run for two seats, one of them must be an at-large position.
  - e. Ballots shall be distributed to a representative of voting organizations in person at the meeting or through other means if the election is held virtually or in a hybrid fashion. Once marked, the ballots will be collected by Board members holding appointed seats, and tallied by them.
  - f. If an equal number of votes are received a run-off vote will be taken. If a tie vote remains, the Board chair (or proxy) will randomly select the winning candidate.
  - g. CoC staff will announce the winners of the election at the membership meeting, and post the full results as soon as is practical on the CoC’s website.

### **Board Officers and Terms**

1. Officers: Seated Board members shall select by a simple majority vote a Chair and Co-Chair for one-year terms at the first meeting of the Board each calendar year.
2. The Chair conducts CoC Board meetings. The Vice Chair serves in the Chair’s absence. The Chair and Vice Chair may be any member of the CoC Board.
3. Terms: Officers shall serve for one-year terms.
4. Term limits: There will be no term limits; indefinite re-election is permissible.

# Sonoma County Continuum of Care Governance Charter

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## **Board Vacancy, Removal & Resignation**

1. Vacancy: In the event of a vacancy of an elected member, the members of the CoC Board will elect a successor to hold the seat until the next regular election. In the event of a vacancy of the Chair, the Vice Chair will serve as Chair. In the event of a vacancy of the Vice Chair, the CoC Board shall elect an interim Vice-Chair to complete the term.
2. Removal – Members of the CoC Board may remove a CoC Board member who is absent for three (3) regularly scheduled Board meetings in a calendar year.
  - a. CoC Board members may also be removed by a 3/4 vote of the Board for cause including but not limited to:
    - i. Failure to perform Board member duties
    - ii. Failure to comply with this Charter and/or applicable policies
    - iii. Engaging in conduct that constitutes a conflict of interestSuch seats will then be filled through the process described above under vacancies.
3. Resignation: Any member of the CoC Board may resign at any time by giving written or verbal notice to the Chair or Continuum of Care Coordinator. Any such resignations will take effect at the time specified within the written notice or if the time is not specified, by the written or verbal acceptance by the CoC Board Chair.
4. Board Training: Within 90 days of joining the CoC Board for the first time, a Board member is expected to complete the following training when made available by the CoC staff in a recorded medium. Those Board members who have completed training on topics through other means (such as serving on an elected body) are not expected to take training offered through the CoC. Topics include:
  - a. Brief history of the Sonoma County CoC
  - b. Roles and responsibilities of a CoC Board member
  - c. CoC Conflict of Interest Policy
  - d. Ethics
  - e. Brown Act
  - f. Rosenberg’s Rules of Order
  - g. HUD objectives and requirements
  - h. Funding and fiscal responsibilities of the Board
  - i. Sexual Harassment prevention
  - j. Current state of homelessness in Sonoma County

## **Meetings and Action**

1. Frequency: Meetings will take place not less than six (6) times per year.
2. Open Meeting: Meetings of the CoC Board will be open to the public.
3. Agendas will be developed by staff in consultation with the Board Chair and/or Co-chair.
4. Quorum: For purposes of voting on Board matters, a quorum is defined as a majority of seated members of the Board present either in person or via telephone or Internet connection. Currently vacant seats do not count in the quorum calculation.
5. Decision-making: The CoC Board’s primary method of decision-making shall be a working consensus. For decisions involving funding, and those for which a timely decision cannot be made through a consensus process, decisions will be made by a simple majority vote. In these cases, yeas, nays, and abstentions will be recorded in the minutes.
  - a. For advocacy issues, a working consensus is required; the consensus cannot be overridden by majority vote.

## **Sonoma County Continuum of Care Governance Charter**

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6. Voting: Only seated members or their named alternates or proxies may vote on items before the Board.
7. Proxy: Should a seated member or alternate be unable to attend a Board meeting, the member or alternate may assign a proxy notifying the Chair and staff prior to the meeting.
8. Action between Meetings: Actions may be taken by CoC Staff or Board officers out of necessity due to time constraints. The Board as a whole shall be provided with an explanation of the circumstances that prompted such action. Actions between meetings must be explained to the Board as a whole at the following regular meeting.

### **Board Staffing**

1. The Sonoma County Community Development Commission (SCCDC) staffs the Board. Staff responsibilities include assisting the Board in meeting all of its responsibilities. The Commission will be the custodian of all Continuum of Care, Board and committee documentation and records.

**See Appendix B for further information on protocols for the Board and Committees**

### **Committees**

The Board may establish and abolish committees at its discretion. The committees' duration (which can be indefinite) shall be set by the Board at the time of their formation or be until the next revision of this Charter. The Board will consider the following factors and others it deems appropriate in establishing and abolishing committees:

1. Committee membership. Vacancies will be referred to the Board Chair and Vice Chair to select a replacement. The Committee may recommend a replacement.
2. Committee Chairs
3. Procedural requirements including taking of minutes and reporting to the Board
4. Availability of staff to provide support

### **Continuum of Care Policies**

#### **Non-Discrimination**

The members, officers, committee members and contractors of the Continuum of Care will be selected entirely on a nondiscriminatory basis with respect to race; color; national origin or citizenship status; age; disability (physical or mental); religion; sex; sexual orientation or identity; genetic information; HIV or AIDS; medical conditions; political activities or affiliations; military or veteran status; status as a victim of domestic violence, assault or stalking; or any other federal, state or locally protected group.

# Sonoma County Continuum of Care Governance Charter

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## **Conflict of Interest and Recusal**

1. All members of the CoC shall abide by the conflict of interest guidelines in the Continuum of Care Interim Rule at 24 CFR 578.95 Conflict of Interest, notably:
2. No member of the CoC Board or relevant Committee may participate in or influence discussion or resulting decisions concerning the award of a grant or other financial benefits in which they or any member of their immediate family (such as parent, sibling, child, niece/nephew, or person with whom they cohabit) has a personal financial interest.
3. No member of the CoC Board or relevant Committee shall vote upon or participate in the discussion – including as a member of the public - of any matter which shall have a direct financial bearing on the organization that the member represents. This includes all decisions with respect to funding, awarding contracts and implementing correction actions. Other representatives of Board members’ organizations may participate in these discussions.
  - a. For Board members from government bodies, this restriction applies to Board members who are employed by the department or division receiving funds and not to the government body as a whole (such as a city or the County).
4. All members of the CoC Board will sign a Conflict of Interest statement at the beginning of every term. Members who find themselves faced with a potential conflict between their business, organizational or private interests and their CoC responsibilities shall avoid conflict of interest during the decision-making process by following these guidelines:
  - a. Disclose any actual or potential conflicts of interest in advance of the meeting to the Board Chair or Vice-Chair.
  - b. Publicly disclose conflicts of interest at relevant CoC meetings.
  - c. Recuse himself or herself at any time from involvement in any decision or discussion in which they believe he or she may have a conflict of interest

## **Annual Document Review & Amendments**

The Board will review this Charter annually to ensure it remains consistent with HUD’s COC Program requirements as well as Continuum of Care objectives and responsibilities. The CoC Lead and the HMIS Lead Agency are responsible for annual revisions to the Charter. The CoC Board is responsible for the final annual adoption of the Charter.

The Continuum of Care Board will have the power to adopt, amend, or repeal the provisions of this Governance Charter by a simple majority of the Board.

## **Administrative Agencies**

### **HUD-Designated “Collaborative Applicant “**

1. The Sonoma County Community Development Commission has been designated as Collaborative Applicant for the Continuum of Care.
2. For the purposes of the annual HUD NOFA application and the management of CoC Program planning grants, the Collaborative Applicant must also be a grant recipient.
3. The Collaborative Applicant is the only entity that may

## Sonoma County Continuum of Care Governance Charter

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- a. Submit the CoC Consolidated Application to HUD
- b. Apply for grants from HUD on behalf of the Continuum of Care.
- c. Apply for and receive CoC Program planning funds on behalf of the Continuum of Care.

### **Homeless Management Information System (HMIS) Lead**

#### Identifying an HMIS Lead

1. The Sonoma County Continuum of Care Board designates the HMIS Lead. The Sonoma County Community Development Commission is the current Homeless Management Information System (HMIS) Lead, until and unless the Sonoma County Continuum of Care Board designates another HMIS lead agency.
2. The Sonoma County CoC Board must ensure that the HMIS Lead Agency is operating in compliance with current HUD HMIS Regulations and other applicable laws. The CoC Board and HMIS Lead agree to update HMIS operational documents and HMIS policies and procedures in order to comply with any updates to HMIS standards established in notices or other guidance, within the HUD- specified timeframe for such changes.
3. The HMIS Data Committee shall conduct annual evaluations of the HMIS Lead's performance.
4. Every five years the CoC Board reviews annual evaluations and any corresponding corrective actions. Upon conducting this review if any areas of concerns are found to be not addressed, the CoC Board will vote on whether the HMIS Lead Agency is in compliance with pertinent regulations and CoC Board expectations. Additionally, the Board shall consider if the HMIS lead has taken appropriate corrective actions to improve any areas of concerns.
  - a. The timeline for the five year review will begin upon approval of the HMIS Evaluation Plan by the Data Committee and the CoC Board, and is expected to begin in 2021.
5. If the CoC Board finds that the HMIS Lead has not taken acceptable measures to improve on areas of concern outlined by the Data Committee, the CoC Board may vote to develop a timeline for corrective actions or vote to assign a new HMIS Lead Agency.
6. If the CoC Board votes to assign a new HMIS Lead, the Board shall direct the Lead Agency and the Data Committee to conduct a Request For Interested (RFI) parties and corresponding Request For Qualifications (RFQ) process to solicit and select a HMIS Lead.
  - a. In partnership with the Data Committee, this RFI/RFQ process will be conducted by CoC Lead Agency.
  - b. Upon receiving and reviewing received qualification proposals, the Lead Agency and the Data Committee shall make recommendations for the CoC Board for a final vote to select the new HMIS Lead.

#### Sonoma County HMIS Lead

1. As the HMIS Lead, the Sonoma County Community Development Commission ensures that all HMIS activities are carried out in accordance with the HEARTH Act of 2009 and the relevant HMIS Data Standards.
2. The HMIS Lead must develop new HMIS policies and procedures annually to remain in compliance with changes in Coordinated Entry Guidance, HMIS Data Standards, the HEARTH Act of 2009, and any new regulations. Additionally the HMIS Lead Agency must review and update existing Documents including the Privacy Plan, Data Quality Plan, Security Plan, Governance Charter & Policies and Procedures at

## Sonoma County Continuum of Care Governance Charter

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least annually, ensuring compliance with any new federal, state or local regulations. While the final responsibility resides with the HMIS Lead Agency, Sonoma County divides the duties between 3 parties:

- a. The HMIS Lead Agency is responsible for updates to the HMIS Policies and Procedures and the creation of or any revisions to existing documents for HMIS in compliance with new regulations and system changes.
  - b. The Data Committee will review, provide feedback and approve any HMIS procedural and/or document changes.
  - c. The Sonoma County CoC Board will have final approval of annual revisions to the HMIS Policies and Procedures.
3. The HMIS Lead will monitor Covered Homeless Organizations (CHO) participating in the HMIS system for compliance with the Sonoma County HMIS Policies and Procedures, Privacy Plan, Security Plan, and HMIS Data Standards.
- a. Sonoma County HMIS Policies and Procedures/forms:  
<https://sonomacounty.ca.gov/CDC/Homeless-Services/Sonoma-County-HMIS/>
  - b. Current Sonoma County Covered Homeless Organizations:
    - Buckelew Programs
    - Catholic Charities of the Diocese of Santa Rosa
    - COTS
    - Community Action Partnership
    - West County Community Services
    - Community Support Network
    - County of Sonoma Human Services
    - Drug Abuse Alternatives Center
    - Face to Face
    - Interfaith Shelter Network
    - Petaluma People Services
    - SHARE Sonoma County
    - Sonoma Applied Villages Services (SAVS)
    - St. Vincent De Paul
    - Reach for Home
    - Santa Rosa Health Centers
    - Sonoma County Housing Authority
    - Sonoma County District Attorney
    - Social Advocates for Youth
    - Sonoma County Behavioral Health
    - Sonoma Overnight Support
    - The Living Room
    - Veteran's Resource Centers of America
    - Coordinated Entry



- West County Health Centers
  - Department of Veteran Affairs
  - Any disaster response agency
4. HMIS data for HUD System Performance Measures and Coordinated Entry outcomes will be posted on a public dashboard on a monthly basis and monitored by the Data Committee.

**HMIS End User Agreements, HMIS Participation Agreements and Fees**

1. The HMIS Lead executes HMIS End User Agreements with every user that participates in and contributes to the data in HMIS. End users of any Participating Agency shall execute, follow, comply with, and enforce the Sonoma County HMIS End User Agreement. The end user must sign an HMIS User Agreement before being granted access to the Sonoma County HMIS.
  - a. The HMIS System Administrator and/or the Participating Agency will provide the end user a HMIS End User Agreement for signature after required training is completed.
  - b. The HMIS System Administrator will collect and maintain HMIS End User Agreements of all end users.
  
2. The HMIS Lead executes HMIS Participation and End User Agreements with every Contributing Homeless Organization (CHO) that participates in and contributes to the data in HMIS.
  - a. HMIS End User Agreement and HMIS Participation Agreements located here: <https://sonomacounty.ca.gov/CDC/Homeless-Services/Sonoma-County-HMIS/>
  
3. The HMIS Lead Agency holds the responsibility for monitoring CHO’s compliance with the Participation Agreement. A detailed course of action for compliance issues can be referenced in the Sonoma County HMIS Policies and Procedures located here: <https://sonomacounty.ca.gov/CDC/Homeless-Services/Sonoma-County-HMIS/>
  - a. In emergency situations i.e. security breach and/or imminent danger to the database, the HMIS Coordinator immediately contacts and reports to Sonoma County Community Development Commission’s (SCCDC) Ending Homelessness Manager, who has final authority for the impending action.
  - b. In all other cases, the HMIS Coordinator implements a course of action outlined in the following steps:
 

Step 1:	Consultation with the Provider Agency
Step 2:	Written warning
Step 3:	Sanctions
Step 4:	Probation
Step 5:	Suspension
Step 6:	Termination

**HMIS Fees**

1. HMIS fees are based on a two factors and are calculated using a formula that factors in number of user licenses, and data quality error rates. The formula and document used to calculate these fees shall be shared with the Data Committee for review and approval prior to billing.

- a. Fees are assessed annually at the beginning of each fiscal year.
- b. CHOs may attend Data Committee meetings and provide feedback on the proposed HMIS fee formula proposal.

*Example:*

*Total fees collected/HMIS match requirement = 25% of CoC HMIS funds. (\$81,789 in 2021)*

*Participation fees are broken into the following categories, with each provider paying a percentage (%) of each categories total.*

*Number of Users - 50% of the total (varies for each provider)*

*Data Error Rate - 50% of the total (varies for each provider)*

2. Participation fees are charged to CHOs via the HMIS Lead Agency and are billed to each Partner Agency on an annual basis.

### **HMIS Vendor Selection**

The Sonoma County Continuum of Care currently uses the Efforts to Outcomes HMIS data base with Social Solutions. Social Solutions is contracted with the Lead Agency, Sonoma County Community Development Commission, until the year 2021 which is approximately \$116,000 per year.

The Sonoma County CoC has only changed HMIS vendors once and the decision was with the lead agency. However, should the vendor be changed again, the Lead Agency will consult with both the Sonoma County CoC Board and the local Board of Supervisors, both of which contribute funds to support HMIS.

### **HMIS Data Committee**

Staffed by the HMIS Lead Agency, this group is responsible for advising the Sonoma County Continuum of Care Board on issues regarding Sonoma County's web-based Homeless Management Information System (HMIS), developing and maintaining the dashboard of metrics to achieve the vision of zero functional homelessness, and alerting the CoC Board of providers whose data jeopardizes the overall system. This group also advises the CoC Board on issues related to the Annual Homeless Assessment Report (AHAR) to Congress, regular Homeless Counts (required every two years—preferred annually), biannual estimate of housing needs or gaps analysis, and the annual inventory of homeless-dedicated housing (Housing Inventory Chart). This group approves annual policies and procedures for HMIS, updates to existing HMIS documents per regulation changes, as well as oversight of monthly public-facing data dashboards. This group also approves aligned definitions for each program type funded in Sonoma County in terms of desired outcomes, to assist the CoC Board in developing appropriate measures for rewarding system providers that meet or exceed expectations and sanctioning system providers that do not adhere to requirements or meet expectations. This group will also recommend training and technical assistance to build the capacity of service providers.

### **Coordinated Entry Advisory Committee**

Staffed by the Lead Agency and HMIS Lead Agency, this group advises the CoC Board on ways to continue the successful work that has already been started in Sonoma County to ensure that Sonoma

County CoC is compliant with federal and state requirements, and that a countywide Coordinated Entry system is in place that is effective and responsive to real-time community needs. This group also advises the CoC Board on strategies for ensuring that service providers are implementing Housing First principles and makes recommendations for providing training and technical assistance to help service providers become compliant with CE and Housing First system requirements in partnership. This group also consults with recipients of Emergency Solutions Grant program funds to inform the advice it would provide to the CoC Board on developing a policy to guide the county's Coordinated Entry System, in accordance with HUD regulations, that provides an initial, comprehensive assessment of the needs of individuals and families for housing and services. Finally, the CE Advisory Committee recommends training and technical assistance to build the capacity of service providers.

### **HMIS Security Plan**

#### Hardware, Connectivity, and Security

Malicious codes, delivered through various means, are designed to delete, scramble End-user files/ programs and/or disable specific computer functions. At times a malicious code slows down a computer -- a mere inconvenience; other times, a malicious code causes an entire system shut down.

Since the computer industry progresses rapidly, each Provider Agency must keep current on protective procedures by consulting with computer system experts periodically for the latest in malicious code preventative measures.

Each HMIS Partner Agency must have at least one HMIS Security Officer at all times. This HMIS Security Officer is responsible for preventing degradation of the HMIS resulting in viruses, intrusions, or other factors within the agency's control and the inadvertent release of confidential client-specific information through physical, electronic, or visual access to the workstation. The HMIS Security Officer must meet all the Privacy and Security requirements detailed in the HUD HMIS Data and Technical Standards.

#### Workstation Access Restrictions

Agency Workstation Minimum requirements:

Computer workstations must be connected to the internet to access HMIS.

Recommended Internet connection: Wired, or Secure Wireless connection

Recommended Browsers: Social Solution's ETO HMIS works best with the latest version of Internet Explorer and must have an antivirus with the latest virus definitions.

Access to the HMIS database should only be from pre-determined work terminals. HMIS should only be accessed through secure workstations and prohibited on public workstations (libraries, cafes, etc.).

#### End-user Requirements:

1. Log-off the HMIS database and close the Internet browser before leaving a work terminal.
2. Log-off the HMIS database and close the Internet browser prior to surfing the Internet.
3. Never leave an open HMIS database screen unattended.
4. Passwords must not be saved on the computer or posted near the workstation.
5. Immediately notify the designated Agency Administrator or the HMIS Coordinator of any suspected security breach.
6. Printer location – Documents printed from HMIS must be sent to a printer in a secure location where only authorized persons have access.

7. PC Access (visual) — Non-authorized persons should not be able to see an HMIS workstation screen. Monitors should be turned away from the public or other unauthorized Partner Agency staff members or volunteers and utilize visibility filters to protect client privacy.

#### Workforce Access Restrictions

Each participating agency must conduct a criminal background check on each of its Partner Agency HMIS Administrators and Security officers at its own expense. The Partner Agency's Executive Director will evaluate the results of the criminal background checks for any concerns. To protect the security and integrity of the HMIS system and safeguard the personal information contained therein, the Partner Agency's Executive Director must consider the results of the background check on a case-by-case basis.

1. An individual whose background raises concerns about whether s/he may sufficiently be relied upon to help the HMIS Lead Agency achieve this goal may not initially be given administrative-level access to HMIS.
2. An individual whose background raises concerns about whether s/he may sufficiently be relied upon to help the HMIS Lead Agency achieve this goal may be enrolled as an HMIS End-user. After at least one year, if the individual demonstrates through proper and safe use of HMIS that the individual is reliable and trustworthy, they may apply to become a Technical Administrator.
3. The results of the background check must be retained in the subject's personnel file by the Technical Administrator.
4. A background check may be conducted only once for each person unless otherwise required

#### Establishing End-user Access

Licenses are given to prospective End-users only after they:

1. Complete HMIS New User Orientation, which includes the Security and Ethics Training.
2. Reads and understand the HMIS Policies and Procedures.
3. Reads and agrees to abide by the HMIS Agency Partnership Agreement.
4. User must agree to comply with HMIS confidentiality practices.
5. Reads, understands, and signs the HMIS End User Code of Ethics user agreement.
6. The HMIS Lead/Agency Admin will keep all documents of the completed Agreement.
7. The End-user will be issued a unique User ID and password, which may not be transferred to one another. Instead, the Partner Agency will request an additional HMIS license, and if one is available, be issued a new User ID.
8. The User IDs access level is granted based upon the End-user's job description.
9. When an Agency Administrator determines a change to be made to user's access, s/he will notify the HMIS Lead.

#### End User's Access Levels

Five access levels exist in the HMIS system. Each level reflects the End-user's access to client-level records. Only agency staff who need access to the HMIS database for client data entry qualify for an End-user license. The level determines the type of information the End-user visualizes. For detailed information on access levels, please review the Sonoma County HMIS Policies and Procedures.

#### Data Access & Password Policies

1. The Agency Administrator contacts the HMIS Coordinator to set up a new End-user and provides a temporary password.
2. The Agency Administrator communicates this password to the new End-user.

3. The End-user must change the password after initially logging correctly into the database. Never transmit End-user identification and computer-generated passwords together in one email, fax, telephone call, or other means of communication. Passwords and usernames must be transmitted separately (e.g., one portion via email and the other via voice) unless physically handed to the End-user, who must destroy the paper transmission upon successfully entering the HMIS database
4. The End-user creates a **unique** password between 8 and 16 characters with a minimum of two numbers. The End-user **DOES NOT** use a password used for other purposes; this password must be unique.
5. Passwords shall not include the End-user name, the HMIS name, or the HMIS Vendor's name.
6. Passwords should not consist entirely of any word found in the common dictionary or any of the above spelled backwards.
7. Password is space and case sensitive.
8. Passwords should be changed every 90 days.
9. End-users must create a new password that is different from the original (expiring) password.
10. Unique Passwords -- User IDs are individual, and passwords are confidential. No individual should ever use or allow the use of a User ID that is not assigned to that individual, and user-specified passwords should never be shared or communicated in any format.
11. Protection of downloaded HMIS files:
  - a. Sonoma County Lead Agency assumes **no** responsibility for the management, protection, and transmission of client-identifying information stored on local agency computers, agency files, and reports.
  - b. Partner Agency is responsible for any file or report downloaded from HMIS.

#### Rescind User Access

Partner Agencies will notify the HMIS Coordinator within 24 hours when any user leaves their position or determined no longer needs HMIS access.

#### **User access must be rescinded ASAP when:**

*When any HMIS user breaches the User Agreement, violates the Governance Policies & Procedures, breaches confidentiality or security, leaves the agency, or otherwise becomes inactive, the Partner Agency HMIS Administrator will deactivate staff User Ids.*

The Continuum of Care is empowered to permanently revoke End-user access to HMIS for a breach of security or confidentiality.

#### Special Considerations

Termination or Extended Leave from Employment:

1. Upon Termination, the Agency Administrator will:
  - a. Delete the End-user immediately.
  - b. Assume all responsibility for deleting their End-users from the HMIS system.
2. Upon extended Leave from employment, the Agency Administrator will:
  - a. Lock an End-user within five business days of the beginning of an extended leave period greater than 45 days.
  - b. Unlock the End-user upon returning.

#### Virus Protection

1. Sonoma County CoC HMIS Provider Agencies shall purchase and maintain state-of-the-art, commercially produced virus protection software, which includes automated scanning of files.
2. Social Solutions Group shall maintain state-of-the-art, commercially produced virus protection software for the Sonoma County CoC HMIS server(s).
3. At a minimum, any workstation accessing HMIS shall have antivirus software run the current virus definitions (24 hours) and full-system scans a minimum of once per week.

#### Firewall

1. Sonoma County CoC HMIS workstations shall maintain secure firewalls to protect against malicious intrusions. The firewall must be a part of a consistent overall Provider Agency security architecture.
2. Social Solutions Group shall maintain secure firewalls for the Sonoma County CoC HMIS servers.

#### Disaster Recovery

The Disaster Recovery Plan for the Sonoma County HMIS will be conducted by the HMIS software Vendor, Social Solutions.

The Lead Security Officer should maintain ready access to the following information:

1. Contact information – Phone number and email address of the Social Solutions contact responsible for recovering the agency's data after a disaster.
2. Agency responsibilities – A thorough understanding of the Agency's role in facilitating recovery from a disaster.

The HMIS Coordinator(s) should be aware of and trained to complete any tasks or procedures for which they are responsible in the event of a disaster.

The HMIS Coordinator(s) must have a plan for restoring local computing capabilities and internet connectivity for the HMIS Coordinator(s)'s facilities.

#### Security Audits

The Contributory HMIS Organization (CHO) Security Officer/Participating Agency Security Officer is responsible for preventing degradation of the HMIS resulting from viruses, intrusion, or other factors within the Agency's control.

The participating Agency Security Officer is responsible for preventing inadvertent release of confidential client-specific information through physical, electronic, or visual access to the workstation.

Each participating Agency Security Officer is responsible for ensuring their agency meets the Privacy and Security requirements detailed in the HUD HMIS Data and Technical Standards. Partner Agencies will conduct a thorough review of internal policies and procedures regarding HMIS.

To promote the security of HMIS and the confidentiality of the data contained therein, access to HMIS will be available via a secure network.

End-users shall commit to abide by the governing principles.

#### Ongoing Monitoring

Agency Administrators conduct a security audit for all workstations that will use HMIS at least quarterly; this includes ensuring computers are protected by firewall and antivirus software.

The Agency Security Officers are responsible for managing the selection, development, implementation, and maintenance of security measures to protect HMIS information within their agency. At least quarterly, the Agency Security Officer will use the Compliance Certification Checklist to audit their workstations in the Agency. Should any Compliance Certification Checklist contain one or more findings, the finding will need to be resolved within seven days. The Agency Security Officer must turn in a copy of the Compliance Certification Checklist to the HMIS Lead Agency annually.

The HMIS Coordinator will visit each agency annually to evaluate each workstation's security using the Compliance Certification Checklist. Workstations will be assessed for noncompliance with standards or any element of Sonoma County's HMIS Policies and Procedures. The HMIS Coordinator will note these on the Compliance Certification Checklist and will work with the Agency Administrator to resolve the action item(s) within 30 days.

#### Enforcement Policies

**CONFLICT RESOLUTION:** Agency level conflicts will be handled through an escalating peer-review process:

1. Affected agencies will make every attempt to resolve conflicts as they occur. The County and/or the Partner Agency may annotate their concerns in writing as appropriate.
2. Unresolved conflicts between the Community Development Commission and a Partner Agency will be noted in writing and forwarded to the Community Development Commission's Ending Homelessness Manager. In the event of an impasse, members of the Data Committee will be notified within ten working days of the impasse declaration. Either party may declare an impasse.
3. The Community Development Commission's Ending Homelessness Manager will review the written grievance and will make every attempt to resolve the matter within 30 days of review. Resolution of the conflict will be in writing and signed by all relevant parties.
4. Unresolved conflicts will be forwarded to the Community Development Commission's Assistant Director for further guidance and action.
5. Any recommendation regarding termination of a Partner Agency from Sonoma County's HMIS will be forwarded to the Community Development Commission's Assistant Director for consideration and possible action.
6. All decisions of the Community Development Commission's Assistant Director are final.
  - a. Conflicts between or among Partner Agencies may require mediation by the Data Committee and/or HMIS Coordinator. Resolution of the conflict may be annotated in writing and signed by all relevant parties as appropriate.

#### **HMIS Privacy Plan**

These privacy policies establish limitations on the collection, purpose, and use of data. It defines allowable uses and disclosures, including standards for openness, access, correction, and accountability. Sonoma County's HMIS System focuses on enabling collaboration between partner agencies and supports an open data sharing structure. Demographic information may flow from partner agency to partner agency easily. Other HUD program-specific fields only flow once clients are enrolled in the new program if they were already part of the program. The policies provide protections for victims of domestic violence, dating violence, sexual assault, and stalking.

The HMIS Lead Agency is responsible for monitoring the partner agencies to ensure compliance with the Privacy Plan policies. The HMIS Coordinator will work with agencies not adhering to the Privacy Plan and provide corrective measures for noncompliance.

#### Client Notification & Client Consent

##### 1. Partner Agency MUST

- a. Provide participant consent form(s) as required by the Partner Agency, state, and/or federal laws and the HMIS standards **prior** to entering client information into the HMIS database.
- b. Provide, in its original form or modified for the specific agency, the HMIS Client Release of Information for the Homeless Management Information System to permit the sharing of confidential client information to other HMIS Provider Agencies. Other non-HMIS inter-agency agreements do not cover the sharing of HMIS data.
- c. A client signed Release of Information constitutes **INFORMED** consent. The burden rests with the Partner Agency End-user or intake counselor to inform the client about the purpose and function of HMIS data before asking for consent.
  - i. Any client receiving homeless services must fill out the Release of Information
  - ii. Any client participating in Coordinated Entry must fill out the CES Release of Information
  - iii. If clients do not feel comfortable providing their personal information into the HMIS, an option to choose an anonymous enrollment is provided. A signature will still be required, but the hard copy release will be stored with the agency outside of the HMIS system.
- d. As part of informed consent, a privacy notice must be posted in the intake area explaining:
  - i. the reasons for collecting the data,
  - ii. the client's rights with regards to data collection, and
  - iii. any potential future uses of the data.
- e. The agency must also make available the relevant Governance Policies & Procedures and a list of agencies participating in Sonoma County's HMIS Project.
- f. Be aware of specific protections afforded under Federal Law for persons receiving certain types of services such as domestic violence services, HIV or AIDS treatment, substance abuse services, or mental health services.
- g. Offer the client the opportunity to input and share additional client information with other Provider Agencies beyond basic identifying data and non-confidential service information.
- h. Obtain client consent for additional client information and communicate what information will be shared and with whom.
- i. Partner Agency End-users must obtain a new signed ROI and enter it into HMIS at project entry, or if the client's original release has expired; ROIs expire every two years.
- j. Data may be collected and entered into HMIS only when that data is expected to be useful for organizing, providing, or evaluating the delivery of housing or housing-related services.
- k. Data used for research or policy evaluation will be shared only after the data has been thoroughly de-identified; this includes removing names, contact information, and removing descriptions or combinations of characteristics that could be used to identify a person.



- l. Provide verbal explanation of Sonoma County CoC HMIS and arrange for, when possible, a qualified interpreter or translator for a client not literate in English or having difficulty understanding the consent form(s).
- m. End-users are prepared to explain (to the client) security measures used to maintain confidentiality.
- n. Participants' have the right to be entered as an anonymous client or as a restricted client. If the client denies authorization to share basic identifying information or non-confidential service data, follow the Anonymous Enrollment Document's steps.
- o. Prior to the release of any client information beyond the basic client profile, obtain from the client a signed release of information form that meets the Provider Agency's standard for the release of medical, financial and/or any other information regarding the client.
- p. Place all Client Release of Information forms in an onsite filing system for required recordkeeping standards and periodic audits.
- q. Retain all Client Release of Information forms for seven years upon expiration.
- r. Enter all minimum data required by the HMIS. Client data, including client identifiable and confidential information, may be restricted to other Provider Agencies. Each Agency Executive Director is responsible for their agency's internal compliance with the HUD HMIS Data Standards.

### **Provider Agency's Client Rights**

A client has the right to:

1. Decline to enter their record into the HMIS database.
2. Authorize the sharing of personal information to other HMIS Provider Agencies.
3. Determine what type of information will be shared and with whom (other HMIS Provider Agencies).
4. Request entrance into the database as an anonymous client or a restricted client.
5. Rescind acknowledgment and consent for the entry of future information and further participation.

#### **Specific Client Notification for Victims of Domestic Violence**

Victim service providers may not directly enter or provide client-level data to HMIS. Instead, a victim service provider, which is defined as a private nonprofit organization whose primary mission is to provide services to victims of domestic violence, dating violence, sexual assault or stalking, must use a comparable database that collects client-level data over time and generates unduplicated aggregate reports based on the data. Legal service providers may also elect to use a comparable database if it is necessary to protect attorney-client privileges. Victim Service Providers (VSP) in Sonoma County that receive funding requiring HMIS Data Entry utilize a comparable HMIS Data system to comply with requirements. VSPs have contracted with Social Solutions to provide their own copy of HMIS System. The system's use is restricted only to those employees with authority to view the data within the Victim Service Providers comparable HMIS system. Considering that ETO is the same system being used as the HMIS for Sonoma County, it is considered HUD compliant.

### **HMIS Privacy Compliance & Grievance Policy**

#### ***Release and Disclosure of Client Data Policies***

It is the primary governing principle of the Sonoma County HMIS that HMIS is intended to serve and protect the community's clients. Policies enacted to protect private client information are as follows.

1. Clients shall be given a print out of all HMIS data relating to them upon written request within ten working days from the time the request is received. Written requests will be date/time stamped immediately upon receipt.
2. A report of data sharing events, including dates, agencies, persons, and other details, must be made available to the client upon request within ten working days from the time the request is received. Written requests will be date/time stamped immediately upon receipt.
3. A log of all external releases or disclosures must be maintained for seven years and made available to the client upon written request within ten working days from the time the request is received. Written requests will be date/time stamped immediately upon receipt.
4. Aggregate data that does not contain any client-specific data may be shared with internal and external agents without specific permission. This policy should be made clear to clients as part of the informed consent procedure.
5. Clients will be understood to be the owners of their own data. Each individual will have the right to grant informed consent, limit data sharing, or revoke consent related to his/her Protected Personal Information at any time.
6. The community will encourage broad HMIS participation by human services agencies. HMIS End-users are expected to read, understand, and adhere to the spirit of these principles, even when the Policies and Procedures do not provide specific direction.

#### Resistance to Outside Disclosures

If an outside entity, such as a Court or law enforcement authority, attempts to access client-specific data, the outside entity will be politely but firmly instructed that the data is confidential and cannot be released without (i) a valid warrant, or (ii) the client's express consent. The client and/or the client's caseworker will then be informed of the attempted access so that the client can take any appropriate steps to resist any further attempts by outside parties to access their private information. No client-specific data will be released or shared outside of the Partner Agencies unless the client gives specific written permission or unless withholding that information would be illegal. Services may NOT be denied if the client refuses to sign Client Informed Consent and Release of Information Authorization or declines to state any information, but this may limit eligibility for certain programs in the Coordinated Entry System (e.g., permanent supportive housing).

#### Unauthorized Release of information

In emergency situations, i.e., security breach and/or imminent danger to the database, the HMIS Coordinator and the Ending Homelessness Manager have the final authority for the impending action for unauthorized releases of information.

In all other cases, the HMIS Coordinator implements a course of action outlined in the HMIS Partnership Violations and Termination – Data Transfer Policies sequence of procedures.

### **HMIS Data Quality Standards**

Data Quality is the term that refers to the reliability, validity, and comprehensiveness of client-level data collected in HMIS. Good data quality represents reliable and valid data on persons accessing the homeless assistance system. With a strong data quality plan, multiple reports such as HUD Annual Performance Report (APR), Longitudinal System Analysis Report (LSA), and the Systems Performance Measure Report (SPMs) will be more accurate, and the HMIS coordinator will spend less time fixing

errors. There are four main components to establish good data quality: timeliness, completeness, accuracy, and consistency. Data Quality Standards are established, monitored, and updated annually by the HMIS Lead Agency.

## **Appendix A: Terms, Definitions, and Acronyms**

- **Continuum of Care Board** is the governing board established to act on behalf of the Continuum of Care using the process established as a requirement by C.F.R. §578.7(a)(3) and in compliance with the conflict-of-interest requirements at §578.95(b).
- **CoC Program Grantee (Recipient)** The CoC Program Grantee is the “recipient” as used by HUD and means an applicant that signs a grant agreement with HUD.
- **Collaborative applicant** means the eligible applicant that has been designated by the CoC to submit the annual CoC Consolidated Application for funding on behalf of the CoC. In addition, the Collaborative Applicant is the only entity that can apply for a grant for Continuum of Care planning funds on behalf of the Continuum of Care. This Charter designates the Sonoma County Community Development Commission as the Collaborative Applicant for the Continuum of Care.
- The **Continuum of Care (CoC)** means the group organized to carry out the responsibilities required by the HUD CoC Program, composed of representatives of organizations, including nonprofit homeless providers, victim service providers, faith-based organizations, governments, businesses, advocates, public housing agencies, school districts, social service providers, mental health agencies, hospitals, universities, affordable housing developers, law enforcement, organizations that serve homeless and formerly homeless veterans, and homeless and formerly homeless persons to the extent these groups are represented within the geographic area and are available to participate.
- **Homeless Management Information System (HMIS)** means the information system designated by the Continuum of Care to comply with the HMIS requirements prescribed by HUD.
- **HMIS Lead** means the entity designated by the Continuum of Care to operate the Continuum of Care’s HMIS on its behalf. This Charter designates the Sonoma County Community Development Commission as the HMIS Lead for the Continuum of Care.
- **Covered Homeless Organization (CHO)** Any organization (including its employees, volunteers, affiliates, contractors, and associates) that records, uses or processes data on homeless clients for an HMIS.
- The **10-Year Homeless Action Plan** is Sonoma County’s strategic plan to prevent, reduce and end homelessness as implemented by the Continuum of Care.
  - **CoC** – Continuum of Care
  - **ESG** – Emergency Solutions Grants
  - **HEARTH Act** – The Homeless Emergency And Rapid Transition to Housing Act of 2009
  - **HMIS** – Homeless Management Information System
  - **HUD** – The United States Department of Housing and Urban Development
  - **MOU** – Memorandum of Understanding
  - **NOFA** – Notice of Funding Availability
  - **NOFO** – Notice of Funding Opportunity
  - **SCCDC** – Sonoma County Community Development Commission

## **Appendix B: Board Protocols**

1. Any information (including that provided with written documents, at meetings, e-mails, or phone) shared outside of a Board meeting by staff with one Board member shall be shared with all Board members as soon as is practical, and no later than 24 hours or one working day after the material was first distributed to a Board member. Exceptions include communications with the Board Chair and Vice Chair on matters regarding setting Board agendas, and materials share with Committee members relevant primarily to that Committee's scope.
2. Meetings will be scheduled at the convenience of all Board members equally, and account for the availability of sufficient staff support. The Board will establish a regular meeting schedule prior to or at the first meeting of each calendar year. Additional meetings may be scheduled with the approval of the Board, or in the absence of a Board meeting by the Chair and Vice Chair.
3. Committee meetings will be scheduled at the convenience of all Committee members equally, and account for the availability of sufficient staff support.
4. Each agenda will have a section toward the end entitled "Board member questions, comments, and requests."
5. The minutes of Board and Committee meetings shall be Action Minutes (versus verbatim minutes) and will include, but not be limited to, all actions taken, including those by formal vote, other direction provided to staff, commitments made by staff or Board members, and statements which Board members request be included for the record. If minutes are recorded, they will include the time at which consideration of each agenda item began. Staff to the CoC Board will take the minutes.
6. Meeting agendas and materials will be distributed electronically to Board members and Continuum of Care members, and posted on the CoC website, at least 72 working hours (excluding weekends and holidays) prior to the meeting start time. Agenda items will typically have a report or other material prepared in advance by staff. Supplemental documents may be distributed to Board members prior to Board meetings (and shared at Board meetings with the public) and added to the website when required by circumstances, but this should not be a regular practice. If materials are presented at meetings and not in advance, they will be made accessible to the public as soon as is practical. Exceptions for providing materials in advance will be made for special meetings, with noticing requirements consistent with the Brown Act. These requirements shall not preclude earlier distribution and posting of materials for Board meetings.
7. If one-third or more of Board members present at a meeting ask for an item to be added to a future agenda it will be added at one of the next two meetings, or another date if agreeable to the Board members making the request. Board members are encouraged to consult with staff as to the availability of staff's time and resources to include background information, when such information is desired. No more than one agenda item per meeting will be added by this mechanism.
8. Any proxy requirement for Board meetings shall extend to Committee meetings.

9. All contracts funded by the CoC with providers will require consistency with the protocols and practices in the jurisdictions in which the contractors operate as long as such protocols and practices would not cause the provider to become out of compliance with grant/funding regulations.
10. The Board has the authority to choose its legal counsel, and may, following majority Board approval, direct work by counsel within the CoC's budget for legal services. Individual members of the Board may not direct legal counsel's work or time incurred.
11. Staff shall prepare and the Board shall review an annual administrative budget for the CoC by May of each year for the following fiscal year. The budget shall include all sources of revenue including but not necessarily limited to HUD allocations for administrative and other purposes such as HMIS; administrative funds received from funding allocations, grants or donations, and direct financial support from any local government entity. The budget shall also include all categories of CoC expenditures, including but not necessarily limited to staff, overhead expenses charged by the Collaborative Applicant or HMIS Provider, services such as contracts and legal fees (which shall be provided by contractor), and other operating expenses.

## **Appendix C: Coordinated Entry Policies and Procedures**

The Sonoma County Coordinated Entry System Policies and Procedures are available online. Use the following link to access the information (section “Instructions for Providers”):  
<https://sonomacounty.ca.gov/CDC/Homeless-Services/Providers/>

# Sonoma County Continuum of Care Board

## ATTESTATION OF NO CONFLICT OF INTEREST

I, \_\_\_\_\_, as a member of the Sonoma County Continuum of Care Board, affirm that I have reviewed the CoC Board’s Conflict of Interest Policy and currently hold no conflicts of interest that would prevent me from making decisions about CoC project funding and ranking. If a conflict arises, I will recuse myself from the discussion and promptly inform the CoC Board Chair.

Signature:

\_\_\_\_\_ Date: \_\_\_\_\_

Printed Name:

\_\_\_\_\_

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## DISCLOSURE OF CONFLICTS OF INTEREST

I, \_\_\_\_\_, as a member of the Sonoma County CoC Board, hereby disclose that I have an interest in the following programs or agencies, and will follow the Conflict of Interest policy regarding recusal:

Name(s) of agency/project in which I have an interest - if your interest relates to numerous projects, you may simple indicate “numerous”:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signature:

\_\_\_\_\_ Date: \_\_\_\_\_

Printed Name:

\_\_\_\_\_

DRAFT  
Review/Approval of Revised  
Continuum of Care Charter

November 17, 2021



# How we Got Here

- Board established Charter Committee and approved members
- 12 Public Committee meetings
- Topic at a CoC membership meeting
- Third time to CoC Board
- Survey of Prior Voting Members
- Extensive review
  - Other charters
  - Legal issues
  - Consultation with HUD TA
  - Consultation with CoC staff

# Committee Members

Board Members	Other Committee Members
Ben Leroi	Gerry La Londe-Berg
Jennielynn Holmes	Patrick O'Loughlin
Tom Schwedhelm	Kelli Kuykendall
Margaret Sluyk	Asya Sorokurs
Don Schwartz	Christina Rivera

# End Result

- Strongly supported by Committee
- Commits us to:
  - Diversity, equity, inclusion
  - Geographic representation to support consistent regional approach
  - Transparency
- Clarifies numerous points of confusion
- Removes unnecessary material (introduction, appendices, etc.)
- Balances different perspectives
- Allows for election early next year

# Board Composition as Approved in October

<b>Current</b>	<b>Approved By Board in Oct.</b>	<b>Appointed or Elected</b>	<b>Categories</b>
1	1	Appointed	Santa Rosa
1	1	Appointed	Petaluma
1	1	Appointed	Board of Supervisors
2	1	Appointed	County Safety Net Departments
1	1	Elected	Elect by LEAP or Voting Members
1	1	Elected	Elect by YAB or Voting Members
1	1	Appointed	Largest Homeless Service Provider
1	1	Elected	Second provider
1	0	Elected	Funder
0	1	Elected	Licensed Health Care Organization
0	4	Appointed	Regional seats
1	1	Elected	Advocate
4	3	Elected	At Large
<b>15</b>	<b>17</b>		<b>TOTALS</b>

# Board Composition: Who is eligible for the regional seats?

- September Board vote
  - City representatives only: 7-4
- **Committee Recommendation (unanimous)**
  - **City or community representatives are eligible for regional seats**
- Alternative: City reps only through 2025: lost 5-2

# Board Composition: Who appoints the regional seats?

- **Committee recommendation:**
  - **Cities appoint**
    - **N. County: Cloverdale/Healdsburg/Windsor collaborate**
    - **Sonoma Valley: Sonoma**
    - **W. County: Sebastopol**
    - **Rohnert Park/Cotati: Two cities collaborate**
- **Alternative: County approves City appointments: lost 6-1**

# Board Composition: Continuity

- Concern: Board turn-over in midst of progress
- **Committee Recommendation (7-0)**
  - **Hold Election Soon**
  - **Occupants of remaining two year seats can retain them for second year**
    - Lived Experience/Ludmilla
    - Youth Lived Experience/Cheyenne
    - Advocate/Margaret
    - At-large/Don

# Elections - Miscellaneous

- **Tie votes broken by random, not eliminating voting rights of senior member**
- **Candidates can run for two seats**
  - One must be at-large



# Board Practices

- **Term Limits: Committee recommendation: no term limits**
  - Alternative: Set limit of four two-year terms: Lost 5-2-1
- **Board Chair:**
  - Current: Chair must be from entitlement jurisdiction, private funder, or organization not receiving CoC funds
  - **Recommendation: Eliminate limitations and address concerns with Conflict of Interest policy (5-3 vote)**

# Membership: Voting Rights for Board elections

- Prior Board Direction: Organizations only can vote for Board seats
- **Committee Recommendations (mostly unanimous)**
  - All organizations must apply
  - Applications to discuss commitment to goals of CoC
  - Governments get one vote/governing body
  - Board has final decision on an organizations' voting rights (5-0-1)
- **Application in Packet**

# Membership: Approval of Charter

- **Recommendation: Charter not subject to approval by Members (6-1 vote)**
- Arguments for:
  - Board should be accountable to members
  - Members should determine core governance issues
- Arguments against:
  - Board is authorized to act on behalf of membership
  - Unwieldy to implement

# Voting Membership: Next Election's Requirements

- Currently, voting members must:
  - Attend 50% of subcommittees and > 50% of membership meetings, or
  - Request voting rights from Board based on “sufficient material contributions to advance the goals for the CoC . . .”
- **Committee Recommendation for 2021: Waive requirements (unanimous)**
  - Records incomplete
  - Too many meetings
  - 2 out of 134 qualified at last count

# Voting Membership: Permanent Requirements

- **Committee Recommendation (7-2 vote):**
  - **Eliminate attendance requirement because:**
    - Attendance is hard to measure
    - Administrative burden on staff
    - There are other ways to stay informed
    - Attendance doesn't mean much
- Other perspective: Attendance is a measure of participation, which we want
- Alternatives
  - Require attendance at 4 meetings/year, of any type
  - Set attendance as expectation but not requirement

# Other Changes

- Delete Outdated Appendices
  - Appendix B: CoC Program Policies and Procedures – mostly re: funding
  - Appendix D: Policy re: Prioritization for services
  - Appendix E: Coordinated Entry Prioritization (as of 9/27/18)
- Consolidate or delete Extensive background and history
- Remove limitation on CoC as a separate organization
  - Allows us to contract and approve funding directly

# Other Changes and Notes

- Incorporates commitment to Diversity, Equity, Inclusion
- Retains extensive language re: HMIS
- Discussion of Lead Agency or Agreements w/County remain
  - Recommend: Discuss with new Board after revising Charter
- Will request HUD review of entire document

# Conflict of Interest

- Board members with conflicts cannot participate – even if recused
- Non profit Board members voting and participation: not entirely clear
- Government officials
  - Possible difference of opinion between HUD and CoC Attorney
  - HUD: may allow voting and participation if not part of department receiving \$
- **Recommendations:**
  - **Follow HUD guidance over attorney**
  - **Clarify guidance with HUD**
  - **Require Conflict of Interest Form for Board members at start of each term**



# Recommended Next Steps

1. Provide direction re: any changes.
2. Approve Revised Charter, pending
  - a. HUD review
  - b. HUD clarification on Conflict of Interest
3. Proceed with Election
  - Nov/Dec: Distribute Application to CoC membership, DEI organizations, etc.
  - Jan: Open Nominations for Four Weeks
  - Feb: Hold Election Sometime after Board Meeting

# Recommended Next Steps, continued

## 4. Approve motion to delay elections:

The Sonoma County Continuum of Care will hold the next election required by its Charter in February, 2022.

A Final Note . . .

**THANK YOU, ALEA TANTARELLI!**

And the entire CoC staff



**Sonoma County Continuum of Care Board  
Executive Summary**

**Item:** HMIS Lead Agency Evaluation Plan

**Date:** 11/17/2021

**Staff Contact:** Daniel Overbury-Howland, HMIS Coordinator, [Daniel.Overbury-Howland@sonoma-county.org](mailto:Daniel.Overbury-Howland@sonoma-county.org)

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Agenda Item Overview

Attached you will find the Sonoma County HMIS Lead Agency Evaluation Plan. The HMIS Lead Agency Evaluation Plan was created by a consultant, Community Technology Alliance, as a part of the Sonoma County HMIS Capacity Building Grant through HUD. Sonoma County historically did not have a formally approved process to evaluate the HMIS Lead Agency, the consultants reviewed the HUD requirements and created this evaluation plan for Sonoma County HMIS Data Committee. In addition, this document was reviewed by HUD's HMIS Capacity Building Grant technical assistance provider, Abt Associates, and approved on September 29, 2020.

As noted within the document, the HMIS Data Committee will be responsible for an annual evaluation of the HMIS Lead Agency utilizing this evaluation form. Annual evaluations of the HMIS Lead Agency will not begin until the attached document has been approved by the Continuum of Care Board.

Staff Recommendation

Staff recommended the HMIS Data Committee reviewed and provide their feedback on the HMIS Lead Agency Evaluation Plan. Committee members have approved the document, as is with no corrections, by a majority vote on September 27, 2021. It is recommended that the Continuum of Care board accept and approve this document ratified by the HMIS Data Committee.



## **Sonoma County Homeless Management Information System (HMIS)**

### **Lead Agency Evaluation Plan**

The Sonoma County HMIS Lead Agency is responsible for management, training, and oversight of homeless data collection and reporting. This Evaluation Plan provides a set of guidelines and metrics by which the HMIS Data Committee can use to evaluate the HMIS system as a whole to ensure compliance with HUD Regulations and provide recommendations to improve the system. Findings from this Evaluation Plan should help guide the HMIS Lead Agency to ensure current HMIS Governance, Data Quality Plan, Privacy Plans and Security Plans are being followed and updated as needed. The HMIS Data Committee reviews its data in four categories: System Administration, Training, Data Analysis and Reporting, and Communication. This grid below assists individuals in completing the evaluation by providing key items to assess.

The Sonoma County HMIS Lead Agency oversees the general management of all the HMIS projects and day-to-day set-up, operation of the projects in HMIS to ensure accessibility of the HMIS software, performance, set-up, and monitoring of the system security to adhere to the CoC Privacy and Procedures Plan. Sonoma County HMIS implementation grant uses a single Efforts to Outcome Software System vendor for both HMIS and Coordinated Entry. To ensure quality data is entered into the system for the CoC, the Lead Agency will interpret, visualize and present data to CoC, to make sure reporting requirements are met. The Lead Agency will develop and conduct trainings and create manuals to help users understand the data collection. Finally, the Lead would provide communication to the community of any changes to the system and manage communication related to data on behalf of the CoC.

Vendor Review and oversight is omitted from this evaluation plan as it is conducted annually and submitted to HUD in the form of the HMIS APR. The Most recent APR should be attached to this document for completeness.

The software vendor was chosen based on the following steps:

1. Lead Agency develops Request for Proposal (RFP)
2. RFP reviewed and approved by the HMIS Data Committee
3. Applicants reviewed by an ad hoc evaluation committee
4. Recommendations to the CoC Board for approval
5. Final approval with Sonoma County Board Of Supervisors

## System Evaluation Worksheet

### System Administration

- A. The HMIS Lead Agency will provide a Resource website with updated communication and helpful documents for end users.
- B. In order to participate in Sonoma’s County HMIS, participating agencies must sign an agreement and MOU. These documents describe rules of agencies must abide by in order to be an active participant in HMIS. These documents are kept by the Lead Agency, in case there are violations to agreement.
- C. All Participating Agencies must have at least one Technical Administrator and at least one Security Officer. This is to ensure all end users have an in-agency representative to help with HMIS needs in addition to making all the rules are being followed accordance to the HMIS Policies and Procedures. The Security Officer would ensure the Security Plan is being followed and completing quarterly audits for the agency and annual audits with the HMIS Coordinator.
- D. Quarterly, Security Audits are to be performed by the Security Officer for each agency. Completing this requires the Quarterly Compliance Checklist found on the Resource webpage is to be filled out and returned to the HMIS Coordinator each quarter.
- E. The Privacy Notice Policy should be viewable or posted on the wall so all clients can see it, when completing an intake/enrollment into HMIS. End users are to have the Privacy Notice posted around the area information it taken. Following the Privacy Policies Guidelines.
- F. Annual Security Audits are completed by the HMIS Coordinator and are completed physically at all sites, to verify all the Security Plan rules are being implemented. Physically audits should include the security of the workstation and completing the Compliance Certification Checklist which can be found on the Resource webpage.

Evaluation Questions	Response	Response Explanation	Assessment	
A. Is there a website of Resources, users could visit with update resources?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>
B. Did any new agencies begin participating in HMIS this year? If yes, Is there a signed MOU on file for each new agency?	Y/ N ____ Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>
C. Do all Participating Agencies have at least one Technical Administrator and Security Officer?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>

			Unable to Complete	<input type="checkbox"/>
D. Did all Security Officers submit a copy of their Quarterly Compliance Checklist audits as required?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>
F. Did the HMIS Coordinator complete the Compliance Certification Checklist audit?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>

## Data Analysis and Reporting

- G. Programs are able to look at the different reports within HMIS and have a better understanding of the overall picture of whom the program is serving.
- H. The Workgroups were able to use the data to establish benchmarks and goals for the different programs.
- I. The HMIS Coordinated sends out reports to the Partner Agencies, verifying and making sure that the maximum of errors that are in each required HUD filled have no more the 5% missing, data not collected, client refused, or client does know this information could be found using the Data Quality Reports.
- J. Timeliness, it is expected that all end users enter HMIS information about the client within 5 calendar days of receiving the information. Information about how often and quickly programs enter information into HMIS, can be found on the Annual Performance Report (APR).

Evaluation Questions	Response	Response Explanation	Assessment	
G. Are HMIS reports and dashboards helping providers to understand their programs?	Y/ N____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>
H. Has HMIS data been used to inform or set local homeless performance metrics and strategies?	Y/ N____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to Complete	<input type="checkbox"/>
I. Do system wide Data Quality Reports show no more than 5% errors?	Y/ N____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>
J. Are all agencies entering their data within 5 calendar days? (verified via APR)	Y/ N____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>



**Training**

- K. HMIS Coordinator will manage a list of those that attended New User Training and when, and a list of those end users with HMIS licenses, to verify that a license was given only after the end user completed a training.
- L. HMIS Coordinator will survey users after trainings, to see what is working and what isn't. Using those surveys to make improvements and adjustments to the training.

Evaluation Questions	Response	Response Explanation	Assessment	
K. All HMIS end users have completed training, prior to receiving their HMIS license?	Y/ N _____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>
L. Were HMIS training participant surveys analyzed and used to improve trainings?  Identify new training needs?	Y/ N _____  Y/ N _____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>

**Communication**

- M. HMIS Coordinators will communicate with all end users at least quarterly of any kind of HMIS report changes. A list of all the emails sent to the users will show how many emails were sent to the end users.
- N. HMIS Coordinator will manage a list of all the end users to verify that they have attended the Annual Update meeting. Without attending this meeting, users would be made inactive until they attend the Annual Update meeting.

Evaluation Questions	Response	Response Explanation	Assessment	
M. Did the HMIS Coordinator communicate to agencies, at least quarterly, of all HMIS report changes?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>
N. Did all the end users attend an Annual Update meeting?	Y/ N ____	Explain:	Completed	<input type="checkbox"/>
			Needs Improvement	<input type="checkbox"/>
			Unable to complete	<input type="checkbox"/>

# SONOMA COUNTY WINTER SHELTERS

December 1, 2021–March 31, 2022

Sonoma County Winter Shelters offer 53 beds in addition to year-round shelters and help to protect people from the year's harshest weather. Spaces are available on a first-come, first-served basis, and may offer wraparound services as well.

## **REACH FOR HOME – 9 BEDS**

Open when temperature falls below 38° or two or more days of rain

5:30 - 6:30 pm: Limited dinners available

7 – 9 pm: Check-in; 7 am: Check-out

*For adults and families*

St. Paul's Episcopal Church

209 W. Matheson St., Healdsburg, CA

(707) 433-6161

## **REDWOOD GOSPEL MISSION – 40 BEDS**

5 pm – 7 am

Intake: Daily at 1 pm in the Chapel, must have ID

*For adults and families – sober shelter*

Entertaining Angels Nomadic Shelter Program

101 6th St., Santa Rosa, CA

(707) 542-4817

## **SOCIAL ADVOCATES FOR YOUTH – 4 BEDS**

7 pm – 8 am

*For youth ages 18-24 years only*

Dream Center Emergency Shelter

2447 Summerfield Rd., Santa Rosa, CA

Youth Crisis Line: (888) 729-0012

## **DROP-IN CENTER**

### **CATHOLIC CHARITIES**

Monday, Tuesday, Wednesday, Friday: 6 -11 am & 1 - 5:30 pm

Hot showers, laundry, telephone, mail service, Coordinated Entry intake

Homeless Services Center

610 Wilson St., Santa Rosa, CA – **NEW ADDRESS**

Coordinated Entry: (866) 542-5480

# REFUGIOS DE INVIERNO DEL CONDADO DE SONOMA

1 de diciembre de 2021 – 31 de marzo de 2022

Los refugios de invierno del condado de Sonoma ofrecen 53 camas además de refugios durante todo el año y ayudan a proteger a las personas del clima más severo del año. Los espacios están disponibles por orden de llegada y también pueden ofrecer servicios integrales.

## **REACH FOR HOME- 9 Camas**

Abierto cuando la temperatura cae por debajo de los 38 grados o dos o más días de lluvia

5:30 – 6:30 pm: Cenas limitadas disponibles

7 - 9 pm: Hora de entrada; 7 am: Hora de salida

*Para adultos y familias*

St. Paul's Episcopal Church  
209 W. Matheson St., Healdsburg, CA  
(707) 433-6161

## **REDWOOD GOSPEL MISSION – 40 Camas**

5 pm – 7 am

Entrada: Todos los días a la 1 pm en la capilla, debe de tener cedula

*Para adultos y familias – refugio sobrio*

Entertaining Angles Nomadic Shelter Program  
101 6th St., Santa Rosa, CA  
(707) 542-4817

## **SOCIAL ADVOCATES FOR YOUTH – 4 Camas**

7 pm – 8 am

*Solamente para jóvenes de 18-24 años*

Dream Center Emergency Shelter  
2447 Summerfield Rd., Santa Rosa, CA  
Línea de crisis juveniles: (888) 729-0012

# CENTRO DE RECEPCIÓN

## **CARIDADES CATÓLICAS**

Lunes, Martes, Miércoles, Viernes: 6 – 11 am & 1 - 5:30 pm

Duchas calientes, lavandería, teléfono, servicio de correo, entrada coordinada

Homeless Services Center  
610 Wilson St., Santa Rosa, CA - **DIRECTION NUEVO**  
Coordinated Entry: (866) 542-5480



**Sonoma County Continuum of Care Board  
Staff Report**

**Item No.** #6 - Staff Reports – Information Regarding the 24/7 Safe Parking and Indoor-Outdoor Non-Congregate Shelter Program  
**Date:** November 17, 2021  
**Staff Contacts:** **Dave Kiff**, Interim Executive Director of the CDC with **Tina Rivera**, Interim Executive Director of the Department of Health Services

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**Recommended Action:**

As a part of improved consultation between the County and the CoC, provide any input to the Board of Supervisors that may be appropriate in the Board of Supervisors’ decisions on December 7, 2021

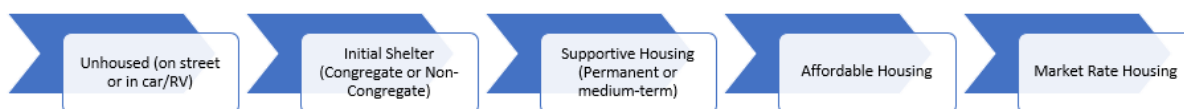
**Executive Summary:**

On September 13, 2021, the County released a Notice of Funding Availability (“NOFA”) (Attachment A) to support 24/7 Safe Parking Locations and/or Indoor-Outdoor Non-Congregate Shelters (NCS). The Board of Supervisors had authorized the use of up to \$2 million for this purpose, in large part to increase the supply of safe off-street parking locations with wrap-around services for persons living in cars and recreational vehicles (RVs). The sites would be used to assist in moving people into more secure housing environments, such as permanent supportive housing or other affordable housing.

Six (6) entities responded to the NOFA with proposals, asking for well over \$7 million in assistance.

**Discussion:**

The Sonoma County Board of Supervisors directed the interim directors of the Department of Health Services and the Community Development Commission to prepare and release a “24/7 Safe Parking Locations and/or Indoor-Outdoor Non-Congregate Shelters” NOFA on September 13, 2021 in order to increase capacity at the “front end” of the system of care that moves people from an unhoued situation to housing. As a reminder, the housing continuum is generally as follows:



As the COVID-19 pandemic has continued, beds in emergency shelter have been reduced to increase space between clients in accordance with health protocols and COVID-19 mitigation measures. Without adequate beds and given legal constraints on enforcing camping ordinances on streets and public spaces, encampments involving persons living in RVs, cars, and tents are prevalent. To address the pandemic’s constraints and to provide a safe alternative for our COVID-19 vulnerable homeless population, those over the age of 65 with at-risk medical conditions, the County and others have stood up temporary non-congregate shelter (NCS) locations such as the Astro Motel, Fairground Trailer sites, and the Windsor Holiday Inn. The County also stood up additional emergency and transitional housing sites such as Los Guilicos Village, Mickey Zane Place (formerly Hotel Azure), and Elderberry Commons (formerly the Sebastopol Inn). These critical sites provide approximately 135 units of needed shelter and housing with wraparound supports and services, but more beds are still needed in this initial shelter phase. To this end, the Board offered more resources to provide both more NCS beds and locations, and to provide safe off-street parking for persons living in cars or RVs and where service providers can assist them as they move through the system of care.



The Board proposed assisting cities and other service providers (as well as the County itself) to increase the Safe Parking and NCS bed supply via the \$2 million NOFA. The objective of the NOFA was as follows:

“Alone or in partnership with cities and CBOs, stand up one or more 24/7 Safe Parking sites, Indoor-Outdoor Shelters with modular housing units (or similar), or some combination of the above in order to significantly increase the amount of non-congregate shelter (NCS) beds available to Sonoma County homeless. Once housed within an NCS facility, the provider will work to ensure the provision of quality wrap-around services that support residents to move into permanent housing.”

Per the NOFA, we were to evaluate the submitted proposals based on:

1. Overall proposal quality
2. Projected cost effectiveness - more beds for less cost.
3. An ability to accept clients as soon as February 28, 2022
4. An ability to maintain full operations for at least a duration that allows substantive supportive sheltering to be stood up to accommodate clients moving from the sites to supportive and/or stable housing.
5. Geographic need – “the Board ... may award funds to geographic areas of Sonoma County that have the greatest need for a project.”
6. Adherence by the Proposer to the provisions of this NOFA, including but not limited to the Submittal Requirements.

We have tentatively evaluated the proposals based on the proposer's ability to stand up the project – including past experience, other resources to apply to the site beyond the \$2 million offered, and the proposer's control over the site (actual ownership or lease control, or such control being pending).

Six (6) entities submitted proposals, asking for over \$7 million in combinations of capital expenses and operational assistance. All proposals had merit, and were well-intended in their efforts to assist our unhoused population.

Here is a summary of the proposals as well as some of our initial analyses:

- **City of Santa Rosa.** The City submitted a proposal asking for \$500,000 to assist in the operational support of its planned up to 50-space **24/7 Safe Parking** location at the Utilities Field Office off of Stony Point Road. The City itself was contributing over \$2.3 million of its own or ARPA resources to the project, with the intention of supporting the program for up to two (2) years. This proposal may score highly thanks to its matching funds, site control, number of persons served, and ability to be stood up quickly (in January 2022).
- **City of Petaluma.** The City and the Committee on the Shelterless (COTS) submitted a proposal for an **interim housing/NCS** expansion with up to 25 shelter units at COTS' location at 900 Hopper Street. The City of Petaluma is proposing assisting the project with up to \$1.3 million in ARPA funds to assist in its development. This proposal involves an experienced provider/partner as well as significant contributions committed from the City. This also can be stood up quickly on a site-controlled location at 900 Hopper.
- **Sonoma Applied Village Services (SAVS) at Aston Barns.** SAVS submitted three proposals, winnowing down one to a **24/7 Safe Parking** opportunity for up to 36 recreational vehicles (and related support structures) at the Aston Barns location southerly of the Sonoma County Fairgrounds at this County-owned location. The barns would remain in place without demolition, allowing for a faster stand-up of this safe parking space. SAVS is gaining greater experience in this field, and will be working with the City of Sebastopol on a similar effort soon. This proposal may rank highly because of its potential to be stood up quickly and the ease of site control.
- **County of Sonoma.** We submitted an **interim housing/NCS** use at George's Hideaway near Guerneville, to stand-up up to 15 Quickhaven-style shelters at this location as soon as we have ownership control over it. The County should know at or before mid-January 2022 whether we will be able to secure Project Homekey-2 funds to purchase the site and improve it later for permanent supportive housing. Starting up the interim use is reasonable before the end of February 2022. This project may score highly because of its location in an area (River area) that needs close-by NCS shelter facilities. The partner (West County Community Services) is also a successful and experienced collaborator.
- **Hannah Boys Center – TAY project.** The HBC submitted an important and welcome proposal to address the region's critical need for **long-term housing for Transition-Aged Youth (TAY)**. Their proposal involves the renovation of three existing buildings into dormitory-style facilities for up to 30 TAY youth. We believe this to be an important project, but not one that is aligned with the NOFA's purpose and call. The HBC project will take

longer to stand up, asks for all \$1.955 million of the \$2 million offered, and involves significant operational costs beyond that (HBC suggested securing \$3,000 per month per resident). As such, it may not be proposed for funding under this NOFA. This project may be better suited for California’s Project Homekey-3 (in 2022) and an expected carve-out for TAY youth facilities.

- **Homeless Action Sonoma** – Sonoma Highway Site (18820 Sonoma Highway). HAS submitted an **interim housing/NCS** request for funding for 18 Quickhaven shelters (and related improvements to house 22 individuals) at this vacant land site north of the city of Sonoma. This is a project that HAS is working diligently on, and can be an important addition to the Sonoma Valley’s housing resources via both interim and permanent housing. The County is working with HAS on Project Homekey-2 funding for this same project.

A summary of the proposals listed above follows:

Proposer	Location	Orientation	Number of Persons Served	Capital Ask	Operations Ask	Total Ask
City of Santa Rosa	UFO Site -- 55 Stony Point	24-7 Safe Parking	50 vehicles/RVs	N/A	\$500,000	\$500,000
City of Petaluma	COTS/Mary Isaac Site - 900 Hopper Street	NCS Transitional Shelter	25 Modular Units (Quickhaven)	\$953,000	\$165,059	\$1,118,059
County of Sonoma	George's Hideaway	NCS Transitional Shelter	15-30 persons over time	\$350,000	N/A	\$350,000
SAVS 3	Aston Barns Site (Aston and Hendley, SR)	24-7 Safe Parking, no barn removal	36 RVs	\$163,000		\$163,000
Hanna Boys Center	Hanna Boys Center in the Sonoma Valley	three buildings housing 30 TAY youth total (ages 18-24)	30 persons	\$1,955,000	\$1,114,200	\$3,069,200
Homeless Action Sonoma	18820 Sonoma Highway, Uninc Sonoma	NCS Transitional Shelter	22 persons housed	\$640,500	\$728,115	\$1,368,615

We welcome the CoC Board’s comments which we will pass along to the Board of Supervisors.





**Sonoma County Continuum of Care (CoC) Board  
DRAFT Agenda for December 15, 2021  
1:00pm-5:00pm Pacific Time**

	<b>Agenda Item</b>
	Welcome, Roll Call and Introductions
1.	Consent Calendar (ACTION ITEM): <ul style="list-style-type: none"> <li>• Approve Agenda</li> <li>• Approve Minutes from 11/17</li> </ul>
2.	Staff Report
3.	Word from the Street
4.	Standing Committee Reports <ul style="list-style-type: none"> <li>• Coordinated Entry Advisory (CEA) Committee</li> <li>• Strategic Plan Committee</li> <li>• Charter &amp; Policy Review Committee</li> <li>• Homeless Management Information System (HMIS)/Data Committee</li> <li>• Lived Experience Advisory &amp; Planning Board (LEAP)</li> <li>• Youth Action Board</li> </ul>
5.	Review Agenda for January 26 CoC Board Meeting
6.	Board Member Questions & Comments
7.	Public Comment

**PUBLICCOMMENT:**

*Public Comment may be made via email or during the live zoom meeting. To submit an emailed public comment to the Board email [Madison.Murray@sonoma-county.org](mailto:Madison.Murray@sonoma-county.org). Please provide your name, the agenda number(s) on which you wish to speak, and your comment. These comments will be emailed to all Board members. Public comment during the meeting can be made live by joining the Zoom meeting using the above provided information. Available time for comments is determined by the Board Chair based on agenda scheduling demands and total number of speakers.*

Candidate: \_\_\_\_\_

Interview Panel Member: \_\_\_\_\_

1.

2.

3.

4.

5.

6.

7.